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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/928,256
	Filing Date	8/10/01
	First Named Inventor	Suresh L. Konda
	Group Art Unit	2152
	Examiner Name	Not Assigned
	Attorney Docket Number	287946-00001
Total Number of Pages in This Submission		12*

* in addition to check and ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> return postcard Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Transmittal Letter;
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	- Declaration;
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	- Powers of Attorney (3);
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	- Copy of Notice of Missing Parts;
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		- Response to Missing Parts

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MAR 12 2002
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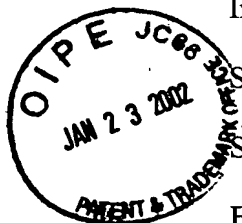
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kirk D. Houser, Reg. No. 37,357 Eckert Seamans Cherin & Mellott, LLC
Signature	
Date	November 6, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 6, 2001			
Typed or printed name	Cathy Roeder	Date	November 6, 2001
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SEC 3012
J

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

SURESH L. KONDA et al.

Serial No. 09/928,256

Filed: August 10, 2001

METHOD AND SYSTEM FOR DATA
TRANSFORMATION IN A
HETEROGENEOUS COMPUTER
SYSTEM

Examiner: Not Assigned

Attorney Docket No. 287946-00001

Group Art Unit: 2152

TRANSMITTAL LETTER

600 Grant Street, 44th Floor
Pittsburgh, PA 15219

November 6, 2001

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

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Sir:

In response to the Notice To File Missing Parts Of Application -- Filing Date Granted, mailed September 28, 2001, enclosed for filing in the above-captioned application are an executed Declaration for Patent Application, three Power of Attorney or Authorization of Agent forms, a Transmittal Form, a Fee Transmittal and duplicate copy thereof, a check in the amount of \$1,153.00, and a copy of said Notice.

Respectfully submitted,

Kirk D. Houser
Registration No. 37,357
Attorney for Applicants

(412) 566-6083



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PTO/SB/17 (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

1,113.00

Complete if Known

Application Number	09/928,256
Filing Date	August 10, 2001
First Named Inventor	Suresh L. Konda
Examiner Name	Not Known
Group Art Unit	2152
Attorney Docket No.	287946-00001

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AUG 1 2 2002

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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 02-2556
- Deposit Account Name: Eckert Seamans
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE		Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355			Utility filing fee	370.00
106	320	206	160			Design filing fee	
107	490	207	245			Plant filing fee	
108	710	208	355			Reissue filing fee	
114	150	214	75			Provisional filing fee	
SUBTOTAL (1) (\$)							370.00

2. EXTRA CLAIM FEES

Total Claims: 86

Independent Claims: 5

Multiple Dependent Claims: 0

Extra Claims: 20** = 66

Fee from below: 9

Fee Paid: 594.00

2 - 3** = 2

Fee Paid: 84.00

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

678.00

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES		Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65			Surcharge - late filing fee or oath	65.00
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for ex parte reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	390	216	195			Extension for reply within second month	
117	890	217	445			Extension for reply within third month	
118	1,390	218	695			Extension for reply within fourth month	
128	1,890	228	945			Extension for reply within fifth month	
119	310	219	155			Notice of Appeal	
120	310	220	155			Filing a brief in support of an appeal	
121	270	221	135			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,240	241	620			Petition to revive - unintentional	
142	1,240	242	620			Utility issue fee (or reissue)	
143	440	243	220			Design issue fee	
144	600	244	300			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	130	123	130			Petitions related to provisional applications	
126	180	126	180			Submission of Information Disclosure Stmt	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	
Other fee (specify)							
SUBTOTAL (3) (\$)							65.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type): Kirk D. Houser

Registration No. (Attorney/Agent): 37,357

Complete (if applicable)

Telephone: 412.566.6083

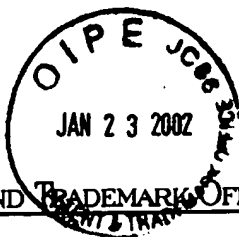
Signature: [Signature]

Date: 11/6/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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* A check in the amount of \$1,153.00 is attached. Please credit our deposit account in the amount of \$40.00.



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Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,256	08/10/2001	Suresh L. Konda	287946-00001

CONFIRMATION NO. 5680

FORMALITIES LETTER



OC000000006810941

Kirk D. Houser
Eckert Seamans Cherin & Mellott, LLC
44th Floor
600 Grant Street
Pittsburgh, PA 15219

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Repln. Ref: 01/31/2002 MAHMED1 0016581900
DAH:022556 Name/Number:09928256
FC: 704 \$65.00 CR

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Adjustment date: 01/31/2002 MAHMED1
01/28/2002 MAHMED1 00000148 09928256
01 FC:205 -65.00 CR

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 357.85 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$674.
 - \$594 for 66 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1094.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/28/2002 MAHMED1 00000148 09928256
01 FC:205 -65.00 CR

Repln. Ref: 01/28/2002 MAHMED1 0009315700
DAH:022556 Name/Number:09928256
FC: 704 \$1088.00 CR

A copy of this notice MUST be returned with the reply.

A handwritten signature, possibly reading 'sf', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE